

# **RE-GRADING APPEALS POLICY & PROCEDURES**

Rev	Date	Purpose of Issue/Des	Review Date	
1.	2022	Appeal process for Interim promotion round 2022		N/A
2				
3.				
Policy officer		Senior Responsible Officer	Approved By and Date	Equality Impact Assessed and date
Louise Shipton		Tracy Hibbert		

# **Purpose of the Policy**

Individuals who are unsuccessful in their application for re-grading may appeal against the outcome, on procedural grounds, and request a review.

# Scope

These procedures apply to all staff who have applied for re-grading and aim to ensure that all members of staff have the opportunity to appeal against an unsuccessful application where they consider their application has been disadvantaged as a result of failures on procedural grounds.

## Responsibility

It is the responsibility of the Chief People Officer, reporting to the Human Resources Task Group and the Executive to implement, monitor and review this Policy across the University.

Deans of College, Heads of School, and Directors of Professional Services are responsible for the implementation of the Policy within Colleges and Professional Services.

# **Core Principles**

- Staff who can demonstrate that their application has been disadvantaged, on procedural grounds, may appeal against the initial decision.
- The University will operate a fair decision-making process to resolve the appeals of staff.

### PROCEDURE FOR RE-GRADING APPEALS

#### 1. TIMESCALE

Individuals requesting an appeal must submit their case to Human Resources by the 14 April 2023.

#### 2. GROUNDS FOR APPEAL

Individuals will only be able to appeal if they believe that:

 The agreed procedure for the consideration of re-grading applications has not been applied correctly.

#### 3. APPLICATION PROCEDURE

In requesting a review, the appellant must provide a statement clearly highlighting the areas of the agreed re-grading procedures which they believe have not been applied correctly to their case, together with details as to why they believe this to be the case.

No new evidence, beyond procedural grounds, may be submitted as part of the appeal.

#### 4. PANEL

The gender balance on Panels should be as close to 50% male and 50% female as reasonably possible.

The Appeals Panel will be serviced by a Human Resources Officer and assisted by a trained role analyst. The panel will normally consist of the following, appropriate, members:

- An independent Chair (lay member of Council, or an alternative agreed Chair)
- 1 appropriate Management representative
- 1 Trades Union representative

Note: Panel members will not consist of any individuals who formed the Verification Panel

The Appeals Panel will not attempt to appraise the professional judgement of the Verification Panel but will consider whether the agreed re-grading procedures have been applied correctly.

The Appeals Panel will not consider any new evidence presented by the appellant beyond procedural grounds.

#### 5. NOTIFICATION OF OUTCOME/FEEDBACK

Human Resources will write to inform applicants of the outcome of their case.

If the appeal is upheld your case will be referred to the initial panel who will assess the impact of the reason for the decision on the initial re-grading outcome.

#### 6. DATA PROTECTION

All background papers and reports on promotion applications will be kept centrally within Human Resources, following General Data Protection Regulations.

### **RE-GRADING APPEALS - PROCESS FLOWCHART**

**Submit notification of Appeal to HR** Appeals Panel to meet to consider appeals Human Resources to provide written notification of outcome. Verification Panel to re-convene to consider cases upheld by Appeal Panel. Human Resources to provide written notification of final outcome Successful applications effective from 1 January 2023 (payments backdated)