



PRIIFYSGOL  
**BANGOR**  
UNIVERSITY

Policy Title	Open Access Policy
Approval Date	February 2020
Approving Body	University Executive
Version	December 2019
Supersedes	Version, 17 October 2017
Next review date	January 2021
Policy Owner	Library & Archives Service
Lead contact	Michelle Walker (Repository and Research Data Manager)
Authors	Michelle Walker In consultation with the Research, Innovation and Impact Strategy Group

# Bangor University Open Access Policy

## 1. Introduction

This policy is part of Bangor University's commitment to research excellence and advocates that the product of its research be disseminated as widely as possible. In response to expectations from UKRI, funding bodies, and the wider general public, Bangor University encourages its staff to meet the Open Access requirements for publicly funded research. The University is committed to making its research freely available, at the earliest opportunity, via PURE the Institutional Repository, to maximise the visibility and impact of its work.

## 2. Rationale

- 2.1. To ensure that researchers meet the requirements of UKRI and other research funders requiring immediate and unrestricted access to the product of publicly funded research
- 2.2. To prevent the ineligibility of outputs for submission to REF2021
- 2.3. To complement the University's Research Data Management Policy
- 2.4. To raise the profile of the University's research
- 2.5. To ensure a centrally held record of the University's research output which will be used to feed all other internal systems.

## 3. Scope

This policy applies to:

- 3.1. All academic staff involved in the creation and development of research outputs
- 3.2. Postgraduate Researchers involved in the creation of doctoral theses and research outputs

## 4. Policy Outline

- a) This policy requires that all relevant academic staff and students ensure the following:
  - 4.1. Bibliographic details of **all** new research outputs are entered into the University's Research Information Management System – PURE, to maintain an accurate record of research activity.
  - 4.2. Full-text copies of journal articles and conference proceedings (with an ISSN) are deposited, copyright permissions allowing, alongside the bibliographic record. Deposit should take place as soon as possible after acceptance and no later than 3 months after the publication date. The version deposited should be the final peer-reviewed text (also known as the Author's Accepted Manuscript, the post-print, the Accepted version).
  - 4.3. Where applicable, all requirements of external funders' policies relating to open access and funder acknowledgment should be met.
  - 4.4. The requirements for research data management, set out in Bangor University's [Research Data Management](#) policy should be met.
  - 4.5. Authors use the standardised affiliation, "Bangor University", in all research outputs to ensure clear association with the institution.
  - 4.6. Research submitted as part of a higher degree is deposited in the Institutional Repository in accordance with paragraph 62 in the Regulations for [Postgraduate Research Programmes, Regulation 03: 2015 Version 02](#)
  - 4.7. Outputs which the University issues with an ISBN should be recorded and full-text items deposited.
  - 4.8. The deposit of full-text versions of other forms of research outputs in the Institutional Repository is encouraged, subject to the Publisher's permissions. This includes book chapters and conference contributions.
  - 4.9. There is an expectation that Staff members will register for an ORCID id and then enter this into their PURE profile. [ORCID](#) provides a persistent digital identifier that distinguishes you from every other researcher.

b) The University will:

- 4.10. Make the relevant outputs available to the public through its Repository, PURE, subject to Publisher's permissions and embargoes.
- 4.11. The Repository team in the Library and Archives Service will validate, update and maintain the data, to ensure its accuracy and usefulness.
- 4.12. Offer training and support in the adherence to this policy.
- 4.13. The University reserves the right to make a deposit on behalf of an Academic or Student who fails to meet these requirements

## **Systems to Support the Policy**

Bangor University has a Central Research Information System, PURE, which draws together research information from both internal and external sources and facilitates an evidence-based approach to Bangor's research and collaboration strategies, assessment exercises, UKRI and other funder's open access compliance and real time visibility of current research activity. PURE will act as the Institutional Repository.

Bangor University manages the UKRI block grant which will pay the open access charges of eligible publications. This is open to UKRI funded academics and research students. This is administered on a first come, first served basis. Non-UKRI funded outputs will not be covered; alternative routes to open access publishing will need to be sought. See <https://www.bangor.ac.uk/library/how-to-pub-open-access.php.en>

Staff from the University's Library and Archives Service, Research and Enterprise Office and IT Services can offer advice on various parts of this policy from alternative routes to open access, copyright and document versions, interpreting funder, publisher and journal policies, offsetting deals and discounts on article processing charges, as well as advice on maintaining PURE and REF2021 eligibility.

## **Use of deposited records**

The deposited records and outputs may be used by the University in a wide variety of ways, for example, dissemination, internal performance monitoring as well as open access compliance monitoring and external research assessment.

## **Exceptions to the Policy**

Where there are ethical or contractual restrictions.

## **Responsibility and monitoring**

The Research, Innovation and Impact Strategy Group (RIISG) retains overall responsibility for this policy. Responsibility for monitoring both the quality of the data and for Open Access compliance lies with the Repository and Research Data Manager, Library and Archives Service.

Responsibility for ensuring compliance with UKRI funding requirements lies with the Impact and UKRI Manager, Research, Innovation and Impact Office and Repository and Research Data Manager, Library and Archives Service.

Compliance will be monitored using various tools available through the Research Information Management System, PURE.

## **Related policies and strategies**

[Bangor University IP and Commercialisation Strategy](#)

[Bangor University Research Data Management Policy](#)

[Bangor University Research Strategy: Enhancing Research Success at Bangor University](#)

Further information can be found at:

[Library and Archives Service](#)

[Research, Innovation and Impact Office](#)